

*TJW*

DOCKET NO.: BMS-2441/DM-6919 CNT

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Poh K. Hui, et al.

Confirmation No.: 1625

Application No.: 10/667,931

Group Art Unit: 1617

Filing Date: September 22, 2003

Examiner: Carlic K. Huynh

For: **PREPARATION OF A LIPID BLEND AND A PHOSPHOLIPID SUSPENSION  
CONTAINING THE LIPID BLEND**

DATE OF DEPOSIT: *December 1, 2006*

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **629, 632 - 633** listed on the attached Form PTO-1449 is enclosed herewith.

- ☒ Copies of reference numbers **630 - 631** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

**DOCKET NO.: BMS-2441/DM-6919 CNT- 3 -**

**PATENT**


☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

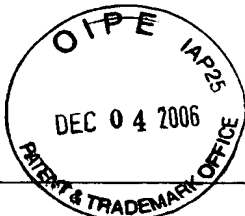
Date:

12/1/06

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. BMS-2441/ DM-6919 CNT		Application No. 10/667,931		
	Applicant Poh K. Hui, et al.				
	Filing Date September 22, 2003		Group 1617		
	Confirmation No. 1625				
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>					
	629	New, R.R.C. (Ed.), "Liposomes a practical approach," <i>IRL Press</i> , 1990, 62-77			
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>		

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Docket No. BMS-2441/ DM-6919 CNT		Application No. 10/667,931	
				Applicant Poh K. Hui, et al.			
				Filing Date September 22, 2003		Group 1617	
				Confirmation No. 1625			
<b>U. S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>		<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	
	630	5,053,214	10/01/91	Jada	423	608	
	631	5,174,930	12/29/92	Stainmesse, et al.	264	4.6	
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b>		
					<b>YES</b>	<b>NO</b>	
	632	WO 99/36104 A2	07/22/99	PCT			
	633	746067 B2 & C	10/07/99	AU			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			